National Exercise Program (NEP)

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Challenges for Federal Exercises

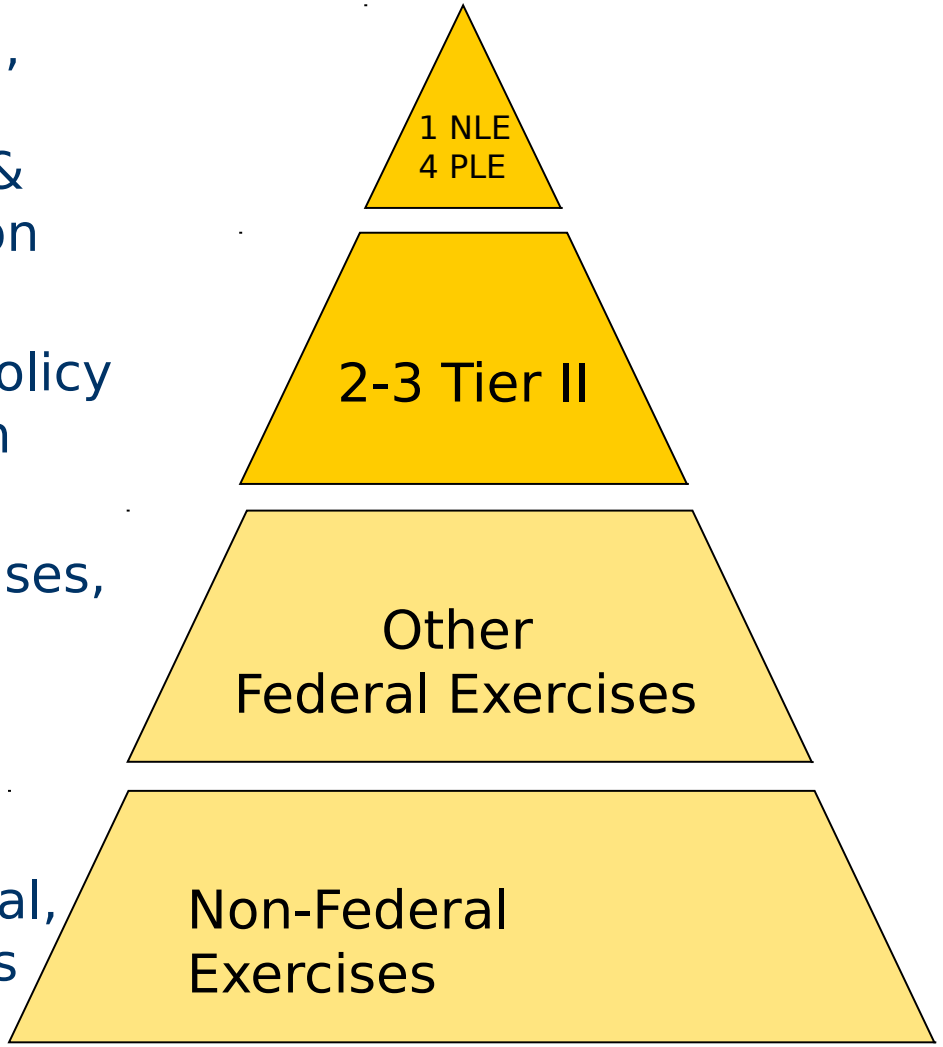
- Support U.S. Government Officers' preparation for managing national crises, and accountability of those who support them
- Limit *ad hoc* requests for U.S. Government Officers' involvement
- Maximize value of that involvement: make it worthwhile
  - Exercise what is important at a strategic/policy level
  - Exercise what is important, in sufficient depth
  - Follow through on exercises and correct/improve elements of national response policy and capability
- Avoid temptation to *over*centralize and stifle needed exercise activity at operational and tactical levels

National Exercise Program (NEP)

- Briefed and approved by the Deputies Committee
  - NEP Charter
  - NEP Charter Implementation Plan
- Tier of NEP Exercises
- Interagency Ownership
- Five Year Schedule
- Corrective Action Program (CAP)
- Homeland Security Exercise & Evaluation Program (HSEEP)
- Loose Alignment of Other Exercises.

National Exercise Program Tiers

- Tier I: White House directed, U.S. Government-wide Strategy & Policy Focus, Full Participation
- Tier II: Federal Strategy & Policy Focus, Significant Simulation
- Tier III: Other Federal Exercises, Operational, Tactical or Organizational Focus, Simulation
- Tier IV: State, Territorial, Local, Tribal or Private Sector Focus



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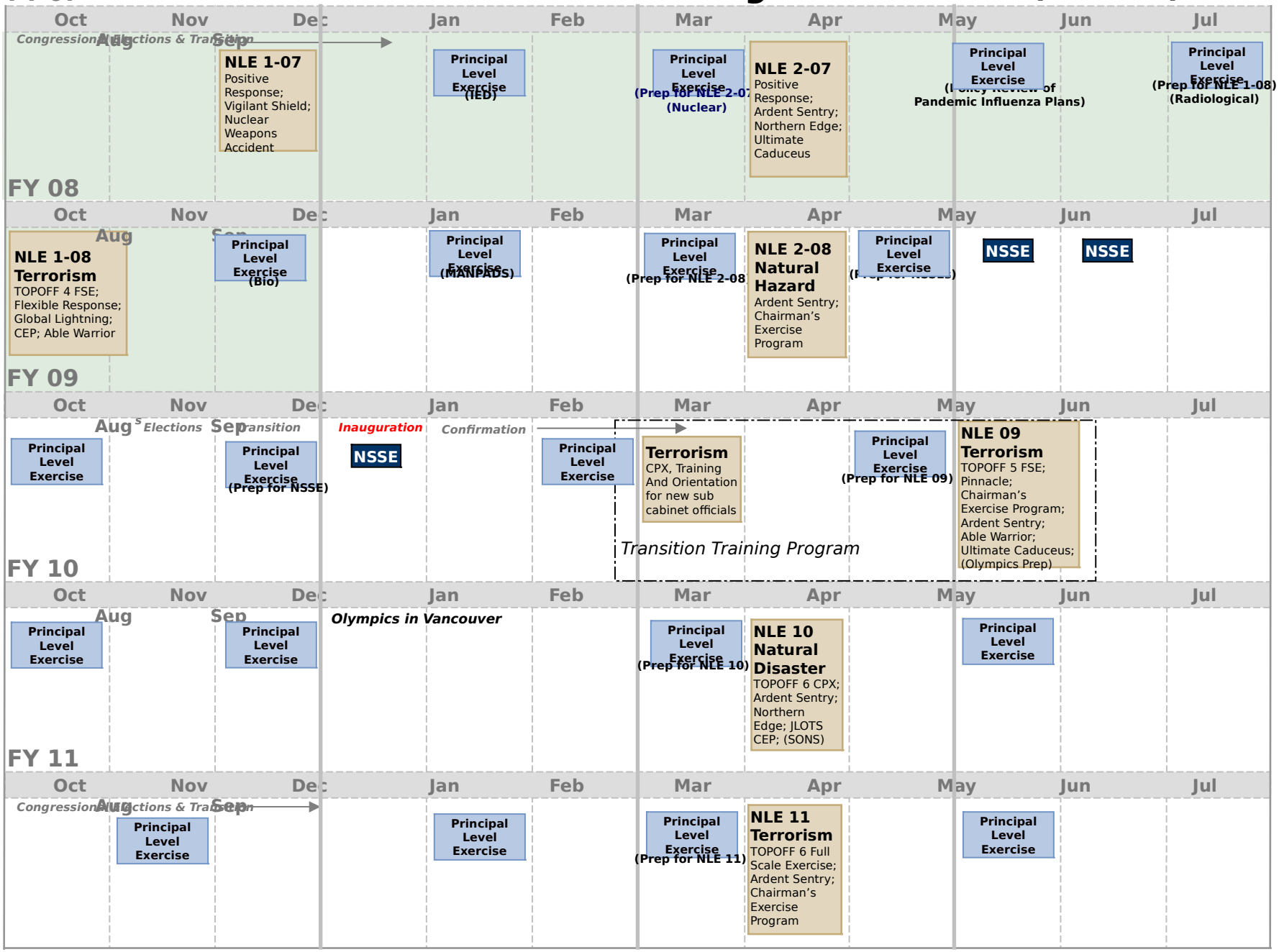
Interagency Ownership

- NLEs and PLEs will reflect USG-wide priorities, not single department or agency programs (individual exercises should be made to fit NLEs, not vice versa)
- Full HSC Plans, Training, Exercises, and Evaluation Policy Coordination Committee (PTEE PCC) recommends priorities/goals/objectives, schedule, and corrective action issues to Deputies
- NEP Executive Steering Committee supports day-to-day coordination and staff work for PTEE PCC
  - DHS (chair), DOD, DOE, HHS, DOJ, DOS, DOT, ODNI, FBI
  - Up to three rotating members (one year term)
  - HSC, NSC, OMB representatives in non-voting oversight capacity

Five Year Exercise Schedule

- Based on strategic direction and policy priorities
- Sets forth goals, themes, and schedule slots for NLEs, PLEs
  - May involve progressive level of detail (implementation plan issue)
  - Requires process for discouraging changes less than two fiscal years out (implementation plan issue)
- Submitted by June 30 each year (in time for budget call)
- Allows for departments and agencies to align other exercises, training activities, and preparatory reviews of policies, plans, and procedures
- Leverages existing interagency exercise conferences of DHS and DOD for development

The Five-Year National Exercise Program Schedule (DRAFT)



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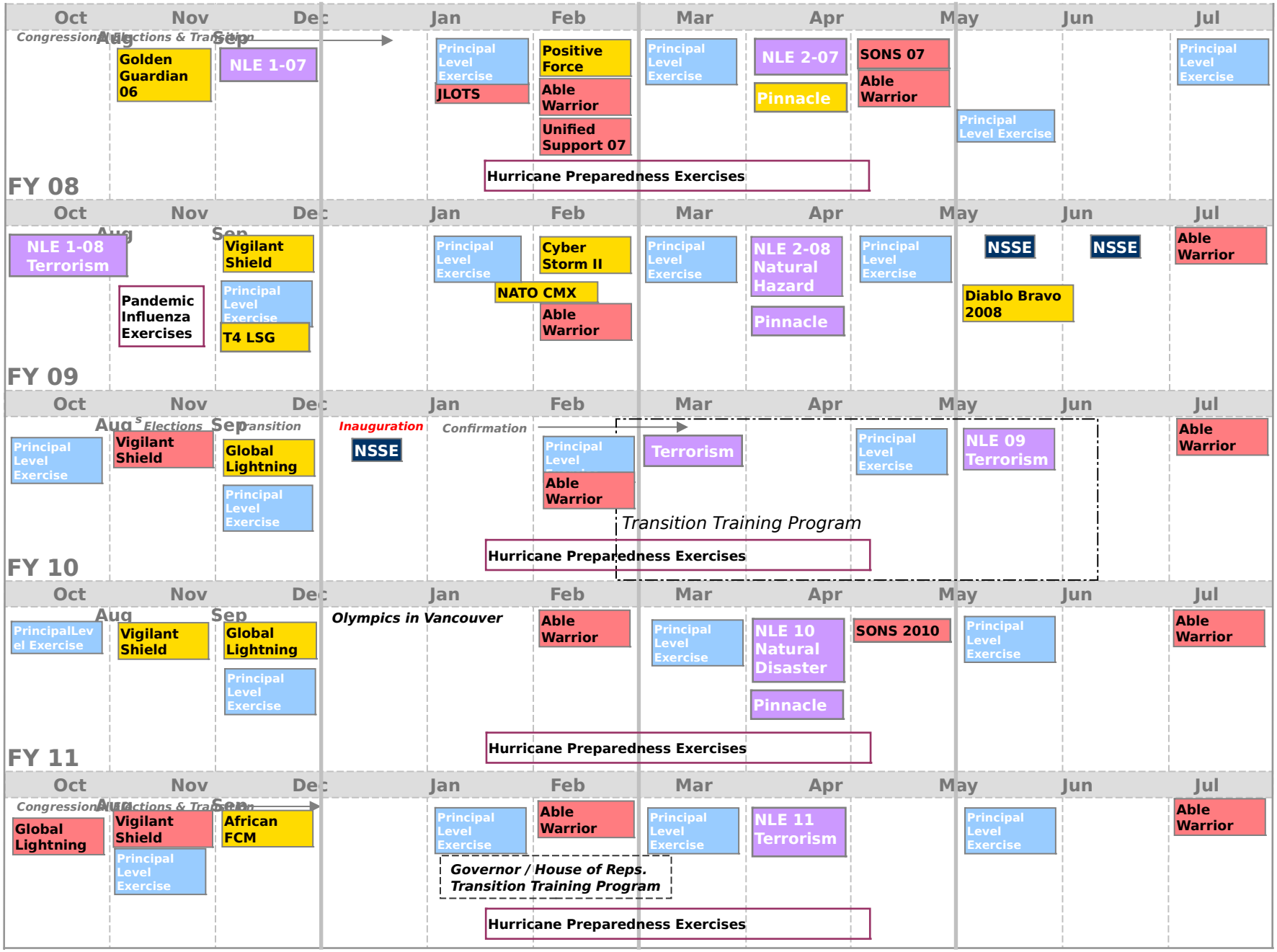
The Five-Year Tier 2 and Tier 3 Exercise Schedule (DRAFT)



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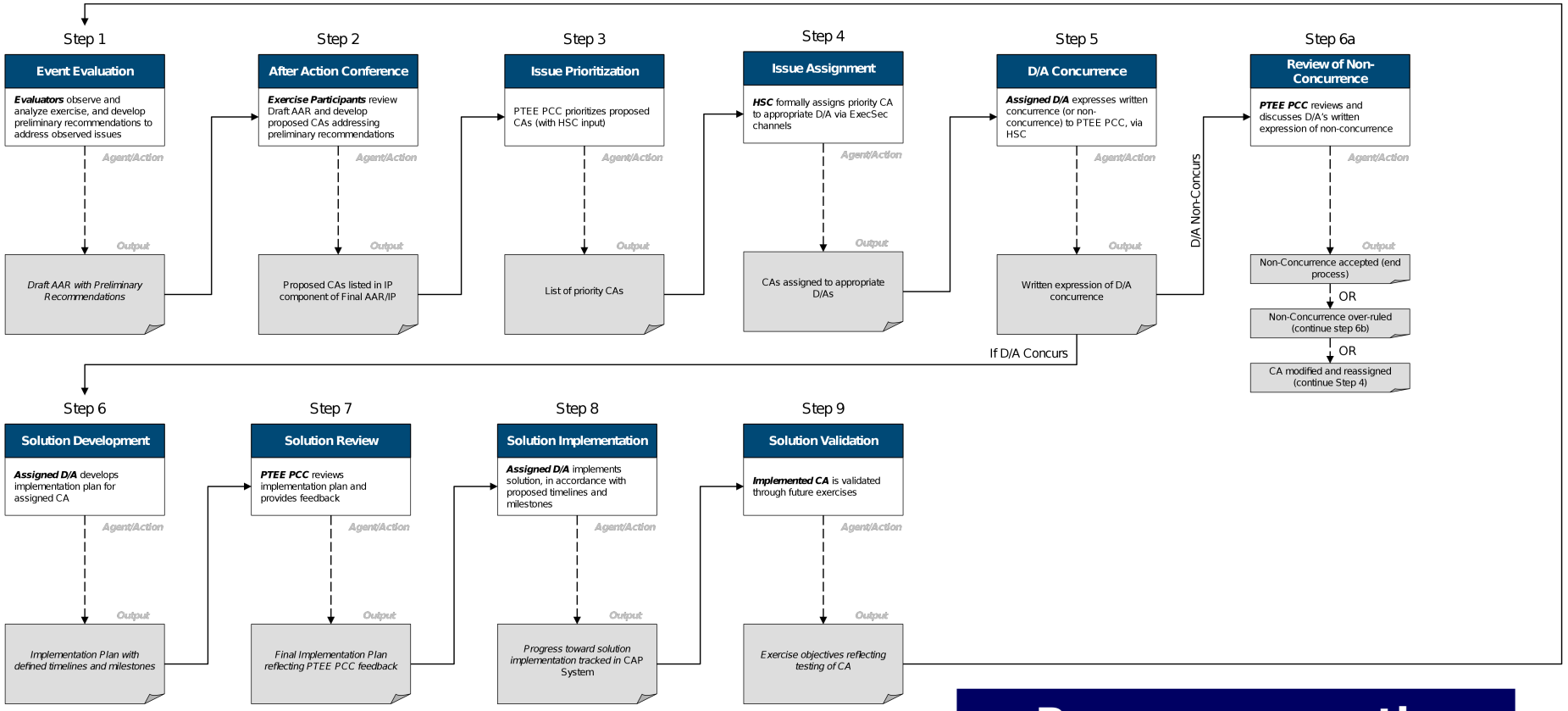
Corrective Action Program (CAP)

- CAP provides the basis for systematically developing, prioritizing, and tracking corrective actions following exercises, real-world events, and policy discussions
- The Web-based *CAP System* supports the CAP by enabling assignment and automated tracking of corrective actions
- The CAP methodology provides a disciplined process for Interagency-level corrective action implementation
- Validated corrective actions become lessons learned for a broader audience
  - Shared on *Lessons Learned Information Sharing* (www.LLIS.gov)
- The CAP process applies to exercise issues which:
  - Arise from National-Level Exercises; or
  - Require Interagency coordination

Interagency CAP Process Flow



Key Acronyms

After-Action Report (**AAR**)
Improvement Plan (**IP**)
Corrective Action (**CA**)
Department/Agency (**D/A**)
Homeland Security Council (**HSC**)
Plans, Training, Exercises and Evaluations Policy Coordination Committee (**PTEE PCC**)
Executive Secretary (**Exec Sec**)

Process currently being finalized for Interagency review

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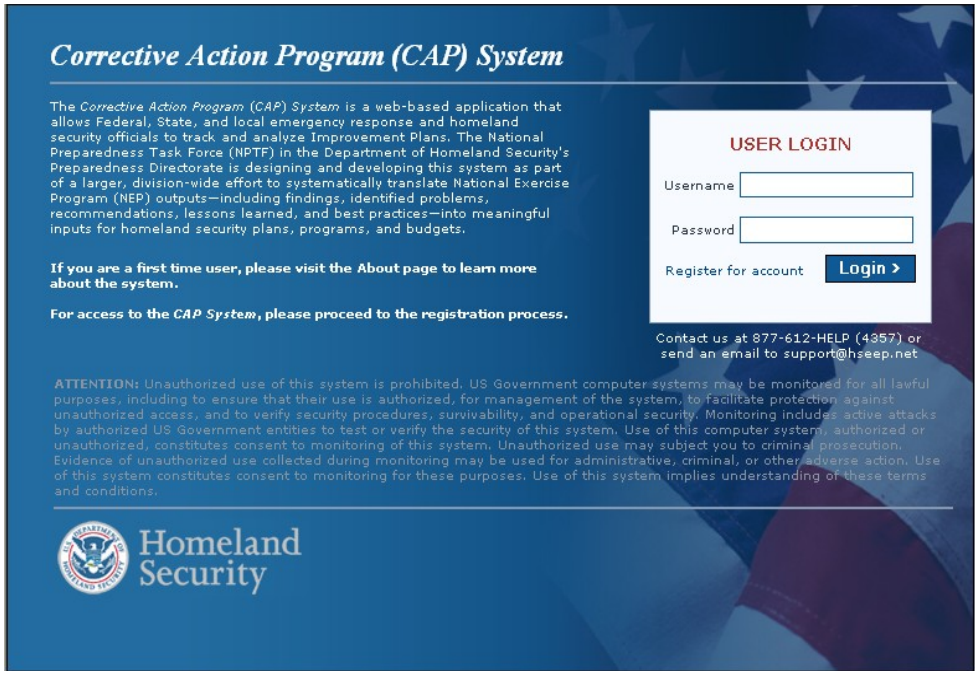
The *Corrective Action Program (CAP) System*

The *CAP System* is a web-based application that enables Federal, State, and local emergency response and homeland security officials to develop, prioritize, track, and analyze *improvement plan* items developed from exercises and real-world events.

- **Developed by the Department of Homeland Security's (DHS) Homeland Security Exercise and Evaluation Program (HSEEP)**
- **Used by Federal Interagency partners and State, local, and tribal area emergency response and homeland security communities**
- **The *CAP System* was made available to the stakeholder community in November 2006**


Corrective Action Program (CAP) System
The Corrective Action Program (CAP) System is a web-based application that allows Federal, State, and local emergency response and homeland security officials to track and analyze Improvement Plans. The National Preparedness Task Force (NPTF) in the Department of Homeland Security's Preparedness Directorate is designing and developing this system as part of a larger, division-wide effort to systematically translate National Exercise Program (NEP) outputs—including findings, identified problems, recommendations, lessons learned, and best practices—into meaningful inputs for homeland security plans, programs, and budgets.
If you are a first time user, please visit the About page to learn more about the system.
For access to the CAP System, please proceed to the registration process.
USER LOGIN
Username
Password
Register for account
Login ›
Contact us at 877-612-HELP (4357) or send an email to support@hseep.net
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"Soft Alignment" of Other Exercises

- *"The NEP shall not replace existing Department and Agency exercise programs. Rather, the NEP shall address directed policy priorities and [...] may incorporate Department- and Agency-specific exercises as needed."*
- Other "unincorporated" exercises are not addressed in detail; they *can* be
  - Aligned to NEP priorities, as potential building blocks for outyear exercises OR
  - Used to address issues specific to individual Departments and Agencies
- Requirements for NEP should lead to supporting processes at individual Departments and Agencies, where they do not exist:
  - Prioritization of goals, objectives, and exercise proposals for submission
  - Internal corrective action program process

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